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| **Annex 3.1 : BACKGROUND IP DUE DILIGENCE INVENTORY FORM (To be completed by the Partner Focal Point (FP) and submitted to ILRI as part of the Annual Program/Technical report)**  ***Purpose:*** to identify and record Background IP prior to commencement of CRP activities, any restrictions or encumbrances that may hinder its incorporation into or use in the CRP and identify measures to secure the required rights for use in the CRP.  ***Background IP definition:*** all technologies (IP) owned/controlled by any Party prior to commencement of the activities and which it contributes to or uses in the course of undertaking the CRP.  **Title of Flagship and name of FP: ­­­\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Institution: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date : \_\_\_\_\_\_\_\_\_\_\_\_\_\_**  *\*Please complete ALL the sections in detail or indicate NOT APPLICABLE where appropriate. Examples are provided to guide you.* | | | | |
| **Background IP** | **Source** | **Owner of the IP** | **IP Rights Owned by Owner** | **Do you have permission/ freedom to use it?** |
| 1. **LIST ALL TECHNOLOGIES**   *[eg. an analytics system]* | *[eg. private company]* | *[eg.XYZ technologies Ltd.]* | *[eg. U.S. Patent No.xxx ; Trademark xxx]* | [eg. the technology is fully owned by XYZ, we have a license to use (dated\_\_valid till\_\_) for non-commercial purposes with no restrictions.] |
| 1. **LIST ALL PRODUCTS OR PROCESSES**   [e.g Microorganisms, DNA, gene constructs/method of gene transformation] | *[eg.purchased from company xxx]* | *[eg. ABC Company]* | *[Eg. U.S. Patent No.xxx / EP Patent No. xxxxx]* | [License to use dated\_\_\_/Yes no restrictions must acknowledge ABC Company in public disclosures] |
| 1. **LIST ALL GENETIC MATERIALS** | *[eg. XYZ University]* | *[eg. XYZ University]* | *[yes, the material]* | *[eg. MTA signed, permission required to share it].* |
| 1. **LIST ALL SOFTWARE, APPLICATIONS & CODE, VIDEO, AUDIO OR IMAGES (photos)**   *[e.g computer code to analyse data]* | *[eg. XYZ University]* | *[eg. XYZ University]* | *[Eg. Copyright]* | *[Eg. CC licence non-exclusive, non-commercial share alike]* |
| 1. **LIST ALL DATA**   *[eg. data collected from farmers or a database]* | *[eg. national research institute, Ethiopian farmers]* | *[eg. national research institute, company x owns database, from a publication]* | [*eg. provided under confidentiality agreement/data sharing agreement/joint research]* | *[eg. some of it is public/confidential/prior authorization required/was obtained without prior consent]* |
| **6. Provide any additional information regarding your background IP that may hinder the publication of the CRP’s results or the distribution of products as global public goods.**  **-**  **-**  ***NB:* As required by the CRP’s Global Access Strategy, an IP Inventory Form is provided (Annex 3.2) to record and report all research outputs generated under the CRP.** | | | | |